

**Ref: DTCL/BSE/23**

**Date: April 11, 2023**

**To,  
BSE Limited  
The Manager  
Corporate Relationship Department  
1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building,  
'Phiroze Jeejebhoy Towers'  
Dalal Street, Fort,  
Mumbai – 400 001**

Dear Sir/Madam,

**Sub: Submission of Compliance Certificate issued in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: Scrip Code No. 530959**

We are submitting hereunder the Compliance Certificate dated April 10, 2023 of our Company issued by M/s M.R & Associates, Practising, Company Secretaries, Kolkata in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) for the financial year ended March 31, 2023 and received by us on April 11, 2023.

We request you to take the same on record.

Thanking you,

**Yours faithfully**

**For DIANA TEA COMPANY LIMITED**

**KRITI JAIN  
Company Secretary & Compliance Officer  
M. NO. - A62248**

**Encl: a/a**

**AARES GROUP**

Regd. Office : Sir RNM House (4th Floor), 3B, Lal Bazar Street, Kolkata - 700 001  
Phone : 2248 8672, 4086 1590-93, Fax : 2248 7571 E-mail : [contactus@dianatea.in](mailto:contactus@dianatea.in)  
Website : [www.dianatea.in](http://www.dianatea.in) CIN : L15495WB1911PLC002275



# MR & Associates

COMPANY SECRETARIES

46, B. B. Ganguly Street, 406, Kolkata - 700 012

Tel No: 033 2237 9517 / 4007 7907

Email : mrosso1996@gmail.com / goenkamohan@gmail.com

## CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31st March, 2023

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Diana Tea Company Limited** having its Registered office at 3B, Lal Bazar Street, Kolkata- 700 001, maintained by M/s. Maheshwari Datamatics Private Limited of 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001, acting as the Registrar & Share Transfer Agent (RTA) pertaining to transfer of Equity shares of the Company for the period from **1st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by **M/s Diana Tea Company Limited** with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during **YEAR ended on 31st March, 2023.**

A) Share/Debenture Certificate(s) relating to the transfer of Share/Debentures received during the period from **1st April, 2022 to 31st March, 2023** as entered in the Memorandum of Transfers have been issued within fifteen/ thirty days ( as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds.

B) Any Other--- NIL

UDIN No.: F004515E000042157



For MR & Associates

(Mohan Ram Goenka)

Company Secretary

Partner

C.P.No.2551

Place : Kolkata

Date : 10.04.2023