



DIANA TEA COMPANY LTD

Diana • Baintgoorie • Good Hope

Ref: D/NJ/BSE/18
Date: April 11, 2018

BSE Ltd.
Department of Corporate Affairs
'Phiroze Jeejeebhoy Towers'
25th Floor
Dalal Street,
MUMBAI- 400 001

Dear Sir/Madam,

Sub: CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015

Ref: Company Code No. 530959

With reference to the above, please find enclosed herewith a copy of the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 dated 11th April, 2018 of our Company issued by Mr. Mohan Ram Goenka, Company Secretaries, Kolkata for the half year ended 31st March, 2018 for your information and record.

Please acknowledge receipt.

Thanking you,

Yours faithfully,

For **DIANA TEA COMPANY LIMITED**

Namrata Jain

NAMRATA JAIN

COMPANY SECRETARY & COMPLIANCE OFFICER

Encl: a/a

AARES GROUP

Regd. Office : Sir RNM House (4th Floor), 3B, Lal Bazar Street, Kolkata - 700 001
Phone : 2248 8672, 4066 1590-93, Fax : 2248 7571 E-mail : contactus@dianatea.in
Website : www.dianatea.in CIN : L15495WB1911PLC002275

MOHAN RAM GOENKA

M.Com,ACS,CFA,PGDFM,PGDPC.

Company Secretaries

46,B.B.Ganguly Street

Kolkata 700 012

Telephone: 2237 9517

Mobile No. 98310 74332

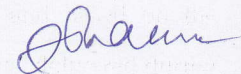
E.Mail: goenkamohan @ hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED MARCH 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Diana Tea Company Limited** having its Registered office at 3B, Lal Bazar Street, Kolkata- 700 001, maintained by M/s. Maheshwari Datamatics Private Limited of 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001, acting as the Registrar & Share Transfer Agent (RTA) pertaining to transfer of Equity shares of the Company for the period from **1st October, 2017 to 31st March, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by **M/s Diana Tea Company Limited** with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during **half year ended on 31st March, 2018**.

A) Share/Debenture Certificate(s) relating to the transfer of Share/Debentures received during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Transfers have been issued within fifteen/ thirty days (as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds.



(Mohan Ram Goenka)
Company Secretary
C.P.No.2551

Place : Kolkata

Date : 11.04.2018

