



Ref: D/NJ/BSE/18  
Date: October 12, 2018

BSE Ltd.  
Department of Corporate Affairs  
'Phiroze Jeejeebhoy Towers'  
25<sup>th</sup> Floor  
Dalal Street,  
MUMBAI- 400 001

Dear Sir/Madam,

**Sub: CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015**

**Ref: Company Code No. 530959**

With reference to the above, please find enclosed herewith a copy of the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 dated 12<sup>th</sup> October, 2018 of our Company issued by Mr. Mohan Ram Goenka, Company Secretaries, Kolkata for the half year ended 30<sup>th</sup> September, 2018 for your information and record.

Please acknowledge receipt.

Thanking you,

Yours faithfully  
For DIANA TEA COMPANY LIMITED

*Namrata Jain*

NAMRATA JAIN  
COMPANY SECRETARY & COMPLIANCE OFFICER

Encl : a/a

**AARES GROUP**

Regd. Office : Sir RNM House (4th Floor), 3B, Lal Bazar Street, Kolkata - 700 001  
Phone : 2248 8672, 4086 1590-93, Fax : 2248 7571 E-mail : [contactus@dianatea.in](mailto:contactus@dianatea.in)  
Website : [www.dianatea.in](http://www.dianatea.in) CIN : L15495WB1911PLC002275

**MOHAN RAM GOENKA**  
M.Com,ACS,CFA,PGDFM,PGDPC.  
Company Secretaries

**46,B.B.Ganguly Street**  
Kolkata 700 012  
Telephone: 2237 9517  
Mobile No. 98310 74332  
E.Mail: goenkamohan @ hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Diana Tea Company Limited** having its Registered office at **3B, Lal Bazar Street, Kolkata- 700 001**, maintained by **M/s. Maheshwari Datamatics Private Limited** of **23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001**, acting as the Registrar & Share Transfer Agent (RTA) pertaining to transfer of Equity shares of the Company for the period from **1st April, 2018 to 30th September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by **M/s Diana Tea Company Limited** with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during **half year ended on 30th September, 2018**.

A) Share/Debenture Certificate(s) relating to the transfer of Share/Debentures received during the period from **1st April, 2018 to 30th September, 2018** as entered in the Memorandum of Transfers have been issued within fifteen/ thirty days ( as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds.

**Place : Kolkata**  
**Date : 12.10.2018**

*Mohan Ram Goenka*  
**(Mohan Ram Goenka)**  
**Company Secretary**  
**C.P.No.2551**

